sifma FOUNDATION

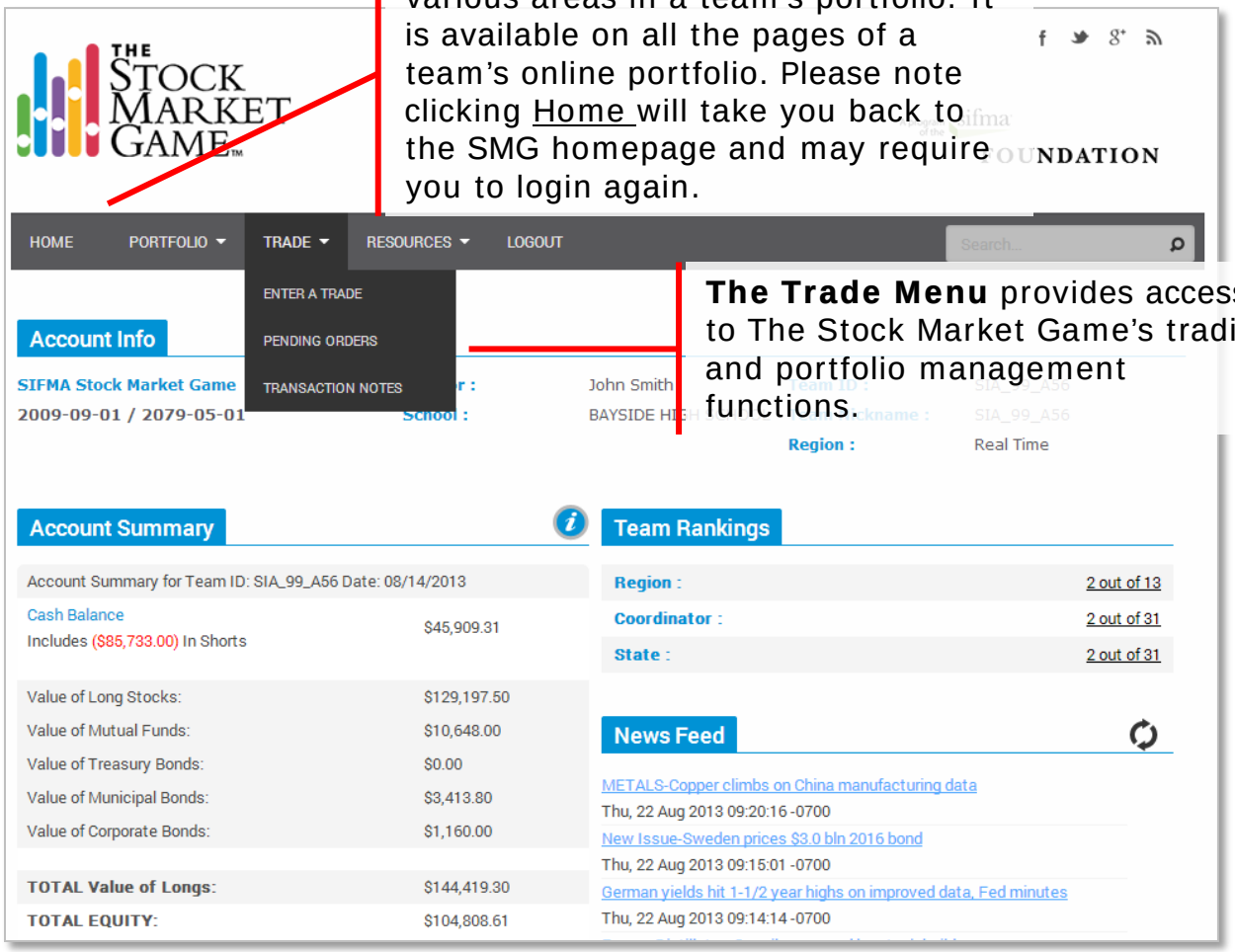
Understanding Portfolios

Enter a Trade

THE STOCK MARKET GAME™ | CHC Capitol Hill Challenge | investWrite

The Trade Menu

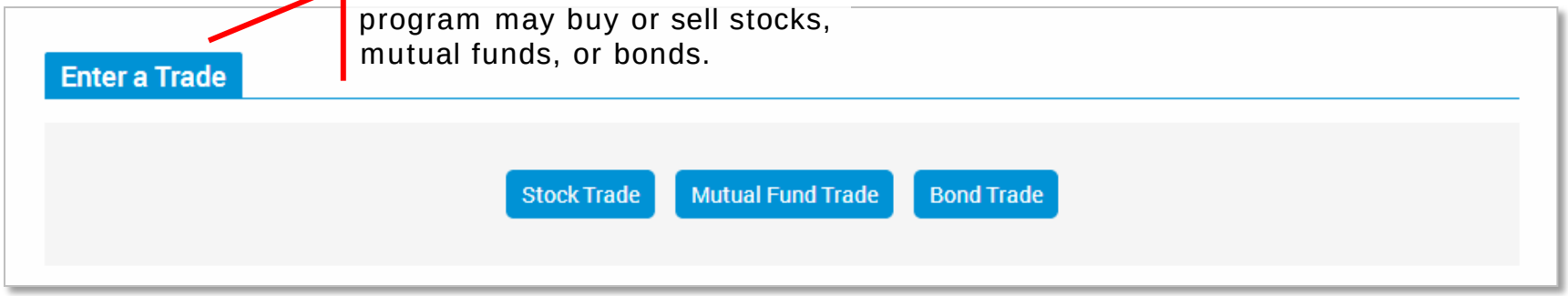
Navigation Menu provides access to various areas in a team's portfolio. It is available on all the pages of a team's online portfolio. Please note clicking Home will take you back to the SMG homepage and may require you to login again.



The Trade Menu provides access to The Stock Market Game's trading and portfolio management functions.

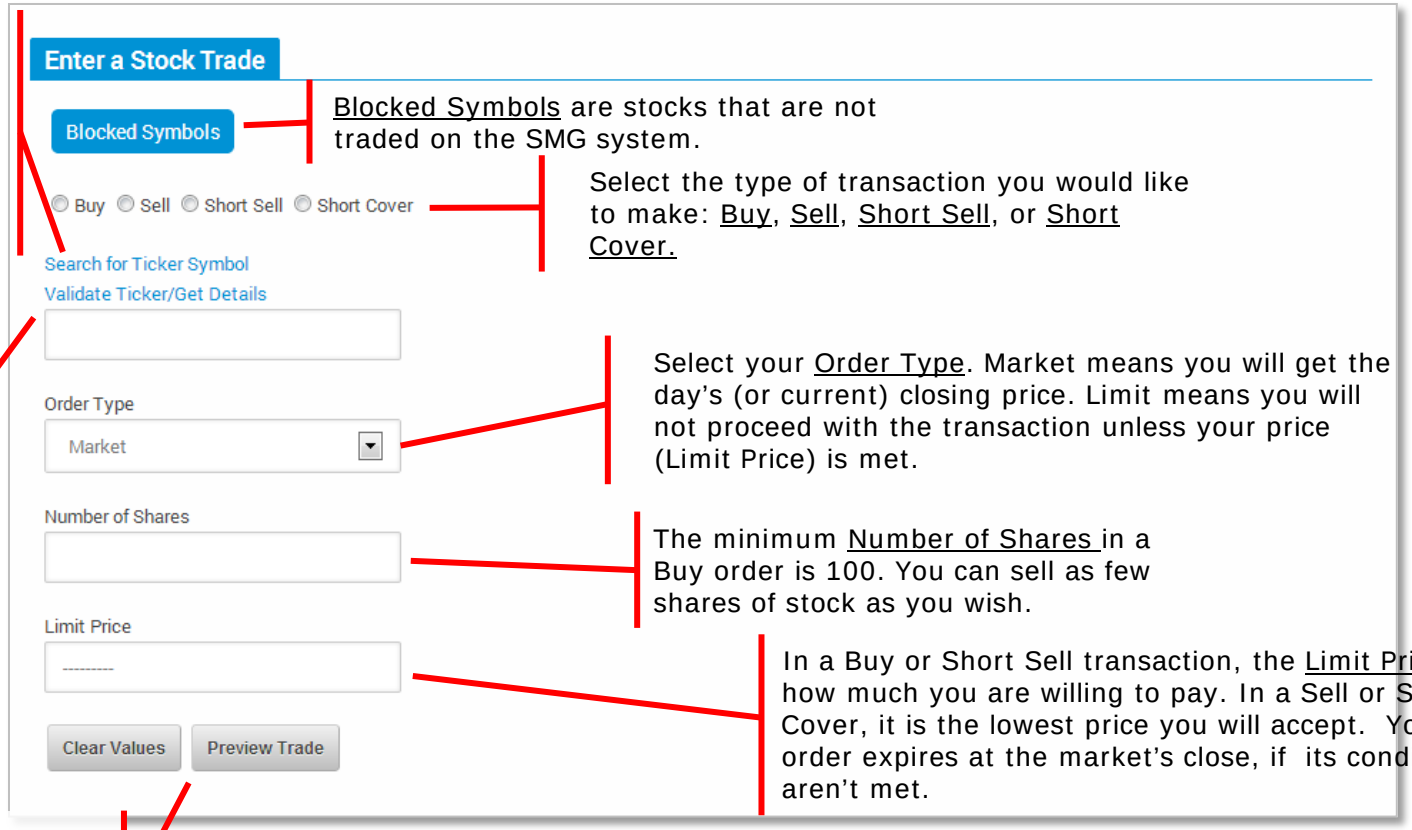
Enter A Trade

Enter a Trade provides teams with the choice of three types of securities. Teams in the SMG program may buy or sell stocks, mutual funds, or bonds.



Enter A Stock Trade

Don't know the company's ticker symbol? Enter its name in the box and click Search for Ticker Symbol.



Blocked Symbols are stocks that are not traded on the SMG system.

Select the type of transaction you would like to make: Buy, Sell, Short Sell, or Short Cover.

Validate Ticker for the company's current price and to confirm that it is the company you wish to trade.

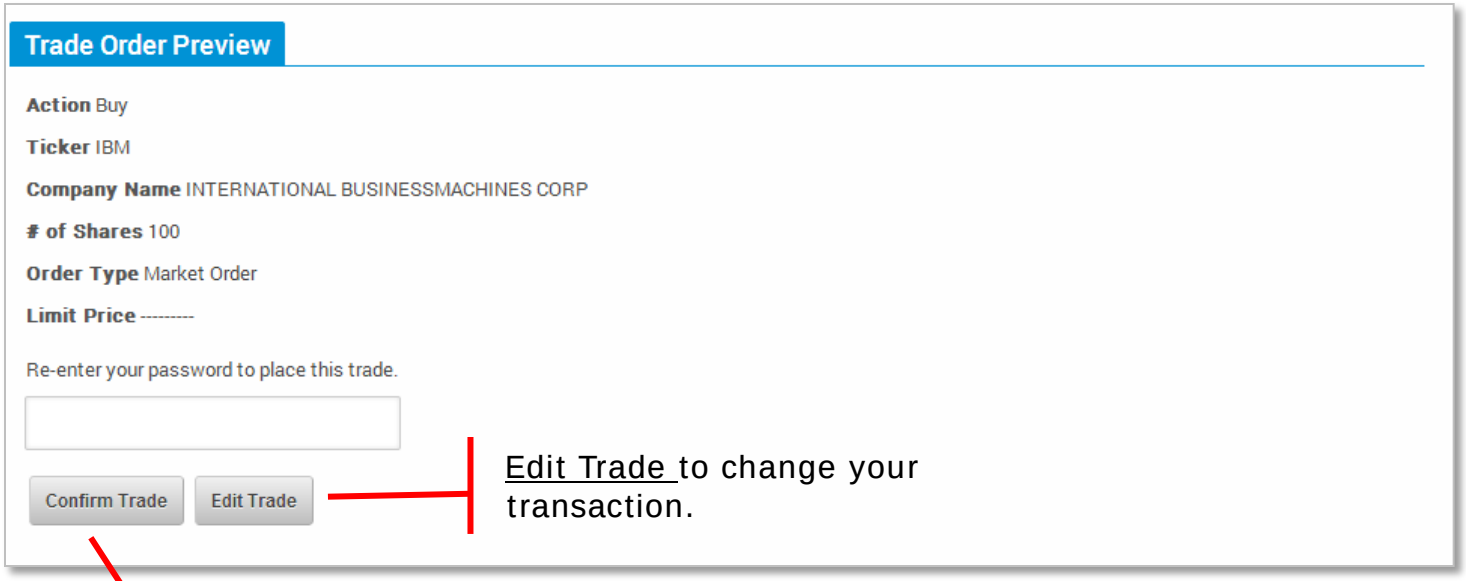
Select your Order Type. Market means you will get the day's (or current) closing price. Limit means you will not proceed with the transaction unless your price (Limit Price) is met.

The minimum Number of Shares in a Buy order is 100. You can sell as few shares of stock as you wish.

In a Buy or Short Sell transaction, the Limit Price is how much you are willing to pay. In a Sell or Short Cover, it is the lowest price you will accept. Your Limit order expires at the market's close, if its conditions aren't met.

Clear Values to re-enter your transaction. Preview Trade to proceed with your transaction.

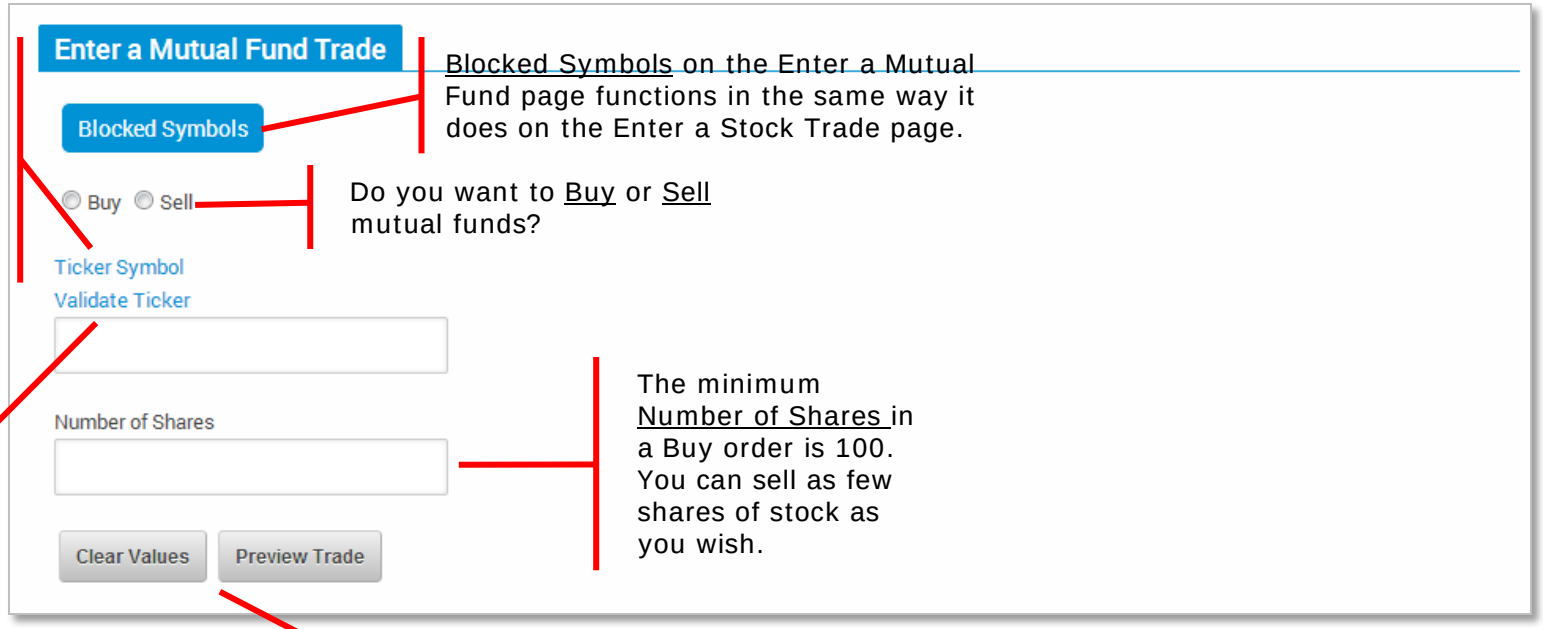
Trade Order Preview



Edit Trade to change your transaction.

Confirm Trade to proceed with your transaction.

Enter A Mutual Fund Trade



Don't know the mutual fund's ticker symbol? Enter its name in the box and click Search for Ticker Symbol.

Blocked Symbols on the Enter a Mutual Fund page functions in the same way it does on the Enter a Stock Trade page.

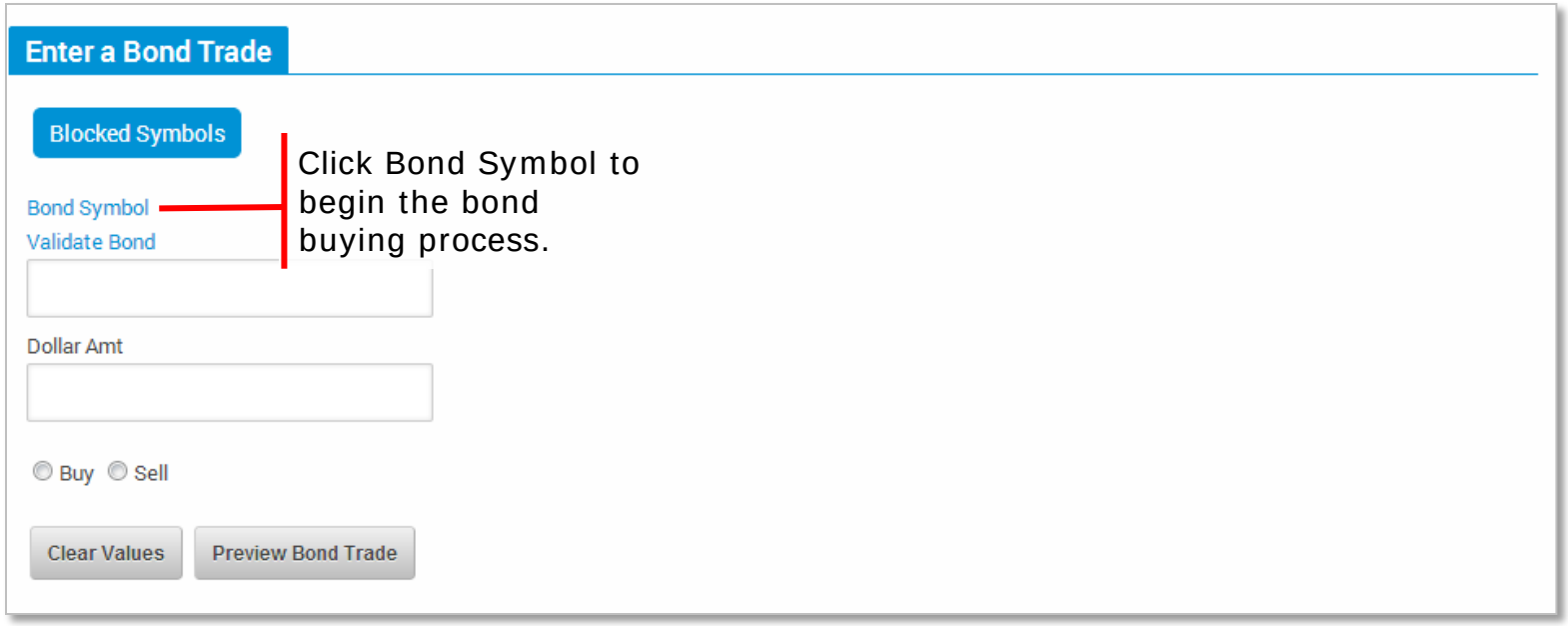
Do you want to Buy or Sell mutual funds?

Validate Ticker for the mutual fund's current price and to confirm that it is the company you wish to trade.

The minimum Number of Shares in a Buy order is 100. You can sell as few shares of stock as you wish.

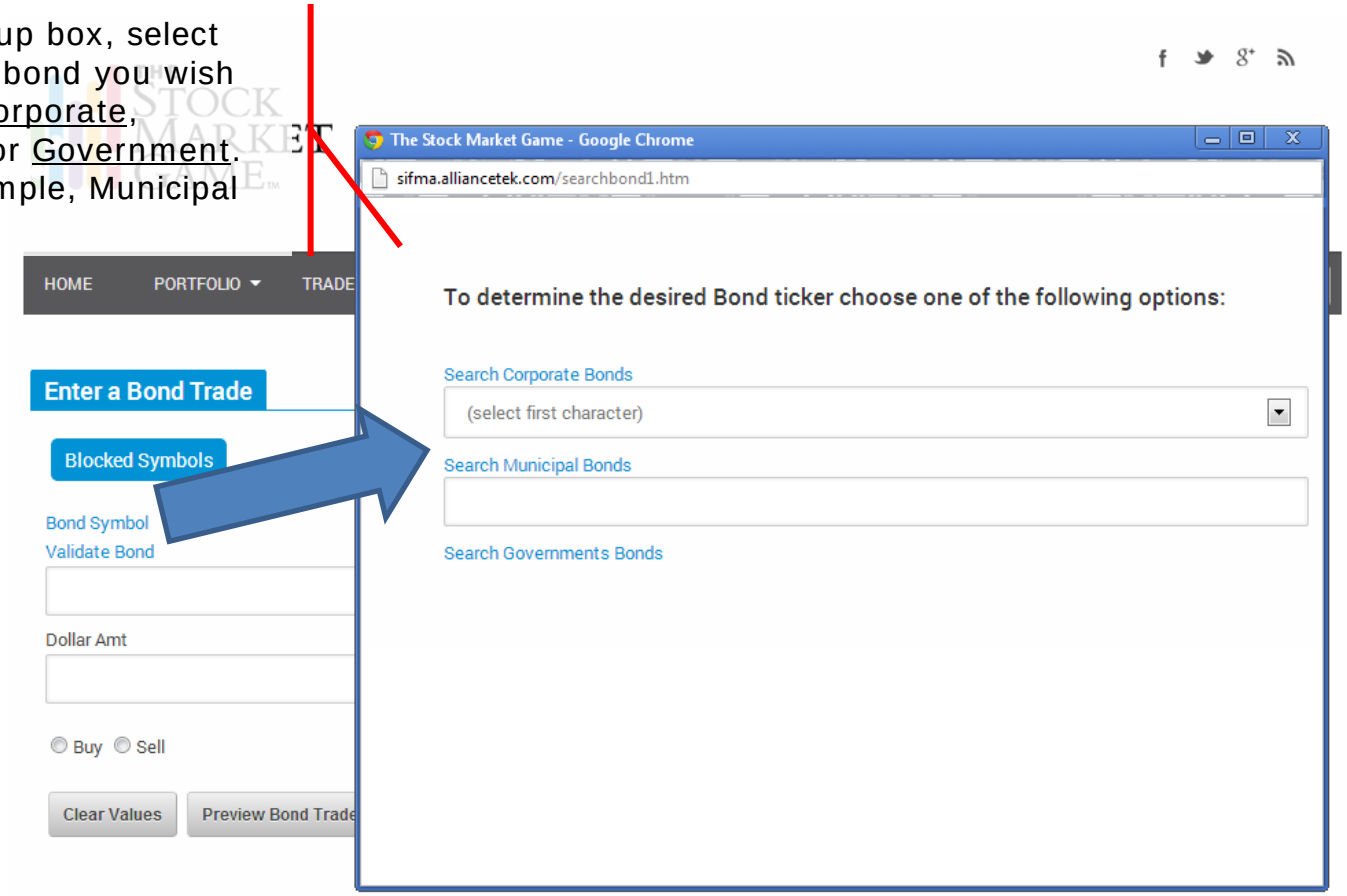
Clear Values to re-enter your transaction. Preview Trade to proceed with your transaction. Mutual Fund trades follow the same Trade Preview process as stocks.

Enter a Bond Trade



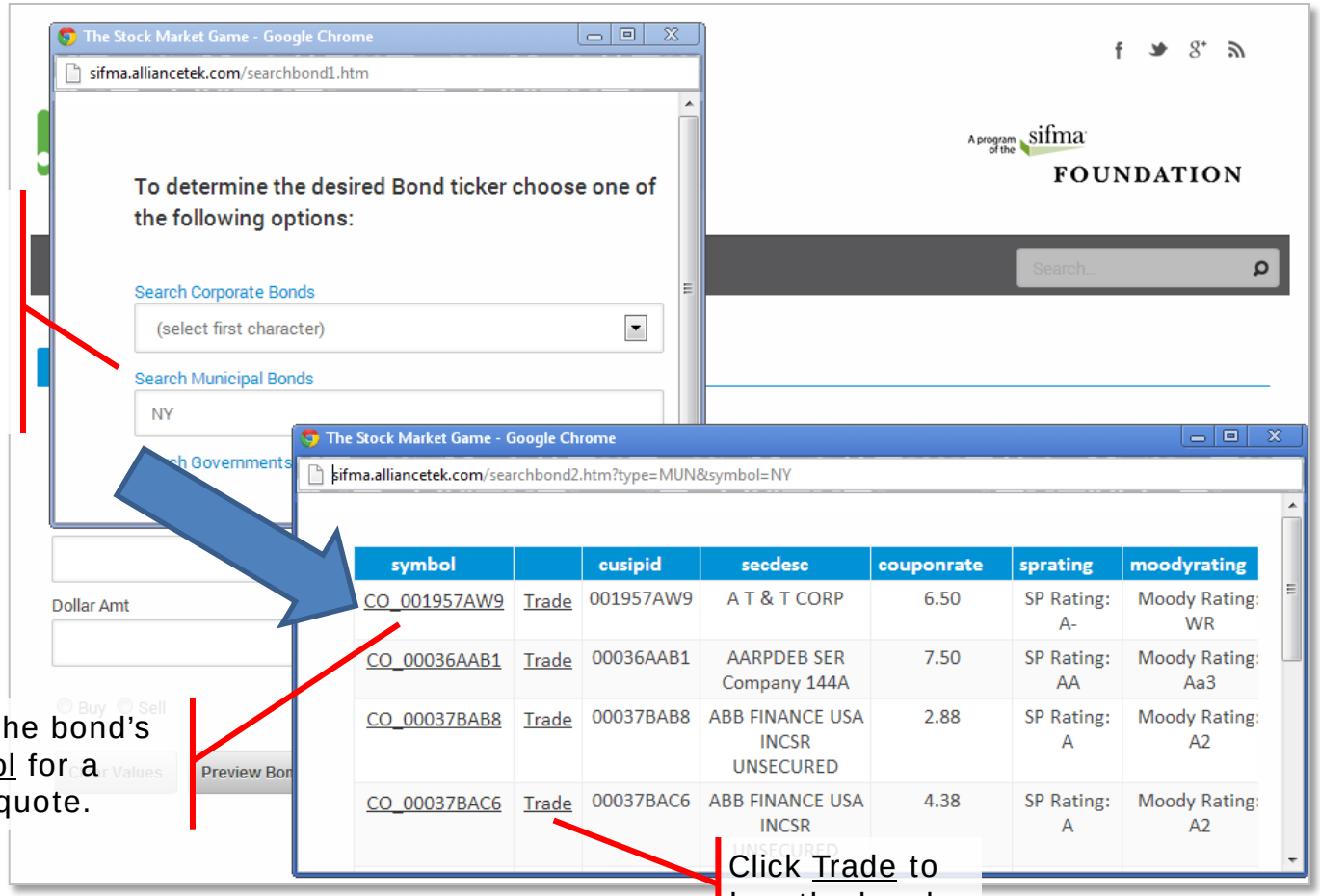
Bond Symbol

In the pop up box, select the type of bond you wish to trade: Corporate, Municipal, or Government. In this example, Municipal is used.



Search Bonds

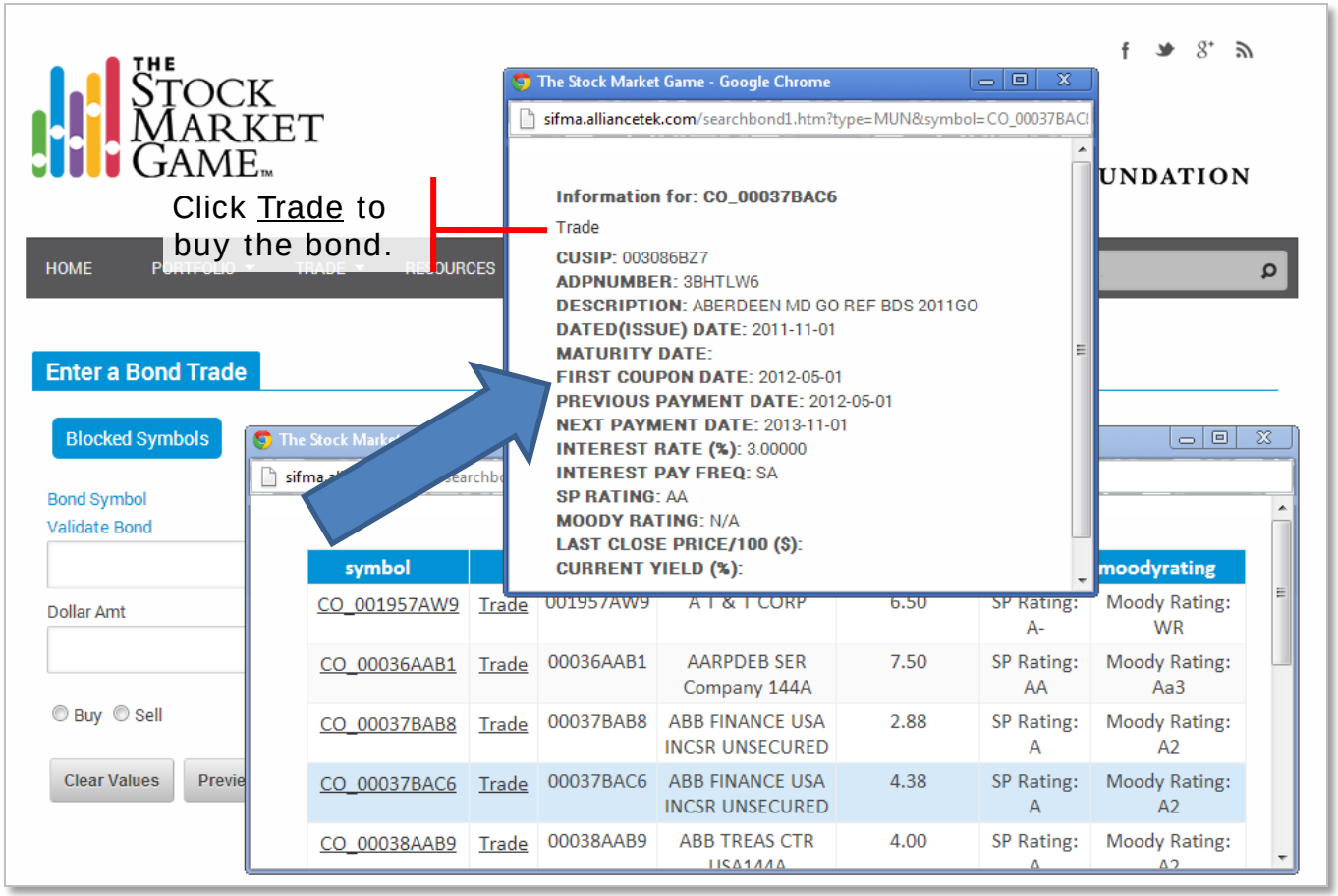
Enter the state initials of the bond you want to research and click Search Municipal Bonds.



Click the bond's symbol for a bond quote.

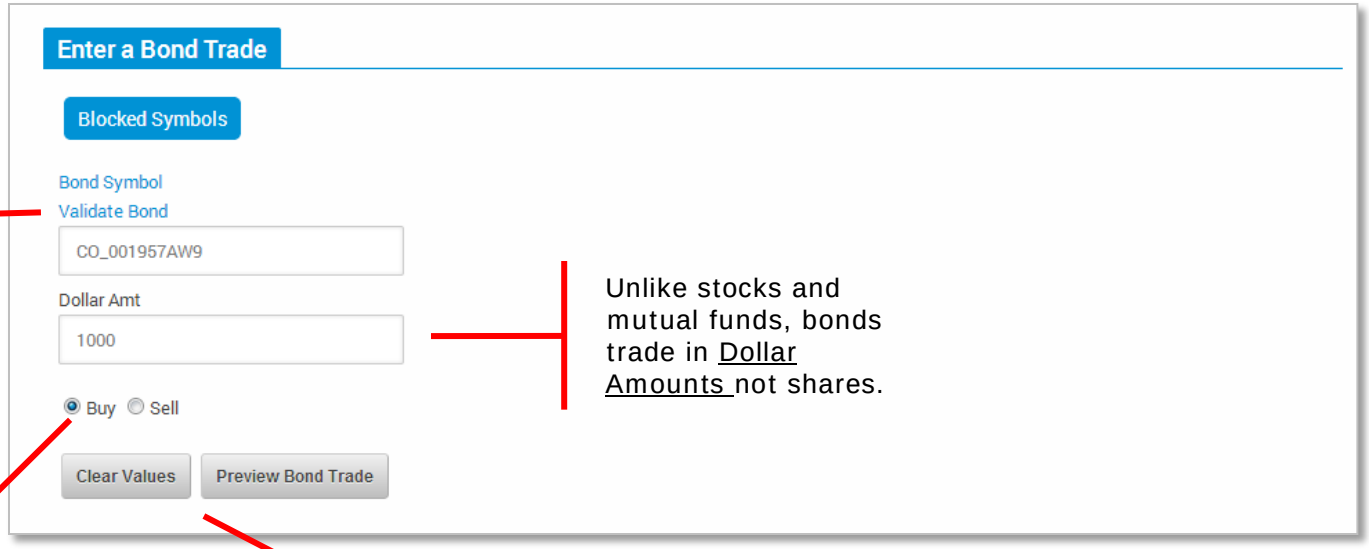
Click Trade to buy the bond.

Bond Quote


THE STOCK MARKET GAME
Click Trade to buy the bond.
HOME
PORTFOLIO
TRADE
RESOURCES
UNDATION
Enter a Bond Trade
Blocked Symbols
Bond Symbol
Validate Bond
Dollar Amt
Buy
Sell
Clear Values
Previe
The Stock Market Game - Google Chrome
sifma.alliancetek.com/searchbond1.htm?type=MUN&symbol=CO_00037BAC
Information for: CO_00037BAC6
Trade
CUSIP: 003086BZ7
ADPNUMBER: 3BHTLW6
DESCRIPTION: ABERDEEN MD GO REF BDS 2011GO
DATED(ISSUE) DATE: 2011-11-01
MATURITY DATE:
FIRST COUPON DATE: 2012-05-01
PREVIOUS PAYMENT DATE: 2012-05-01
NEXT PAYMENT DATE: 2013-11-01
INTEREST RATE (%): 3.00000
INTEREST PAY FREQ: SA
SP RATING: AA
MOODY RATING: N/A
LAST CLOSE PRICE/100 ($):
CURRENT YIELD (%):
symbol
moodyrating
CO_001957AW9 Trade 001957AW9 A T & T CORP 6.50 SP Rating: A- Moody Rating: WR
CO_00036AAB1 Trade 00036AAB1 AARPDEB SER Company 144A 7.50 SP Rating: AA Moody Rating: Aa3
CO_00037BAB8 Trade 00037BAB8 ABB FINANCE USA INCSR UNSECURED 2.88 SP Rating: A Moody Rating: A2
CO_00037BAC6 Trade 00037BAC6 ABB FINANCE USA INCSR UNSECURED 4.38 SP Rating: A Moody Rating: A2
CO_00038AAB9 Trade 00038AAB9 ABB TREAS CTR 4.00 SP Rating: Moody Rating:

Trade

Validate Bond for the bond's current price and to confirm that it is the bond you wish to trade.



Unlike stocks and mutual funds, bonds trade in Dollar Amounts not shares.

Do you want to Buy or Sell bonds?

Clear Values to re-enter your transaction. Preview Trade to proceed with your transaction. Mutual Fund trades follow the same Trade Preview process as stocks.

Pending Orders

Pending Orders lists all of the trades you have made. Teams using End of Day Pricing have the option of cancelling their trades before the end of the trading day (4PM ET). Real-Time or teams using intraday pricing do not have this option.

Pending Orders

Pending Transactions for Team ID : SIA_99_A26 **As Of :** 08/16/2013

Transaction Type	Shares or $Value	Ticker	Limit Price	Trade Entered	Confirmation	Description
Buy	100	AAPL	----------	2013-07-20 14:08:32	SFL-8504	Cancel Pending

Note : All Pending Transactions are subject to approval.

Transaction Notes

Transaction Notes lists all of the trades you have made. Check it the day after you have entered trades to make sure they went through without errors.

Confirmation displays the same ID you saw your Trade Order Confirmation page.

Transaction Notes

Transaction Notes for Team I

Trade Type	TICKER	Shares or $Value	Limit Price	Trade Entered	Confirmation	Description
Buy	IBM	$100.00	$MKT	2013-08-15 14:32:35		ERROR:INSUFF BUYING POWER
Buy	IBM	$100.00	$MKT	2013-08-15 14:32:15		ERROR:INSUFF BUYING POWER
Buy	IBM	$100.00	$MKT	[illegible]		ERROR:INSUFF BUYING POWER
[illegible]	[illegible]	$100.00	$MKT	[illegible]		ERROR:INSUFF BUYING POWER
Sell	COST	$100.00	$MKT	2013-08-13 [illegible]		Order Executed
Buy	CO_459200AM3	$1,000.00	$MKT	2013-08-09 15:14:52		ERROR:INSUFF BUYING POWER
Buy	TR_912810QT8	$1,000.00	$	2013-08-09 10:42:39		ERROR:QTY INV
Buy	TR_912810QK7	$100.00	$	2013-08-08 10:01:22		ERROR:QTY INV
Buy	TR_912810QK7	$100.00	$	2013-08-08 10:01:11		ERROR:QTY INV
Buy	TR_912810QF8	$1,000.00	$MKT	2013-08-07 10:30:34		ERROR:QTY INV

Limit Price will display the limit price set on a trade. If no limit price is set **$Market** will appear.

If your Description says "Executed" it means your trade went through. If it displays Order Rejected or another message, it means there was a problem with your trade.

Sample Descriptions

This is a list of possible error descriptions that teams may encounter on their Transaction Notes page.

- **Account Margin Exceeded by: $ -** You did not have enough money for the trade, even if you borrowed the maximum.
- **Failed: Could not talk to portfolio system -** System was unavailable for trading. Try again later.
- **Invalid Symbol or Price Feed System Down -** If you get this message, you may have entered the ticker symbol incorrectly or part of the system may be down. Check the ticker symbol and re-enter the trade.
- **Not enough position for transaction -** You are trying to enter a transaction to sell or short cover more of a security than you currently hold in your portfolio.
- **OMS control file error-INVREQ -** The SMG system is down and could not process your order. Please try again later.
- **Order Price is Away From Market -** You entered a limit price that was not met.
- **Order Rejected -** You attempted to sell a stock that you did not own.
- **Order Canceled -** You canceled the trade before the close of market, while it was still in *Pending Transactions*.
- **Possible duplicate order -** You have just entered a duplicate order that would put your portfolio in an over sold long or over bought short position. The trade has been rejected.
- **Portfolio system unavailable, please try again later –** The SMG system is unavailable for trading. Please try again later
- **Symbol is not defined in portfolio system -** You have attempted to place a trade with a ticker symbol that is not in the portfolio database. Ask your teacher to contact your SMG Coordinator about it.
- **Security Not Found on Sec Mast Xref File and OSS Sec Mast -** You attempted to trade a stock that was not in the system. Usually this means the stock is not listed on either the NASDAQ or the NYSE Euronext. If you receive this message and are sure the stock is traded, please have your teacher contact your local SMG Coordinator.
- **Symbol price is zero -** You have either entered an incorrect ticker symbol or the system price data feed is not pricing the security.
- **This is a Possible Error -** You will get this message if a system error occurred during processing. If you get this message, check *Account Holdings* the day after you entered the trade to see if it has been processed. If not, re-enter the trade.
- **Trade exceeds maximum percentage for equity -** Your SMG Coordinator has implemented a *Maximum Percent Equity* rule. Please ask your teacher for the maximum amount of equity you are allowed to invest in a single company or fund.

Need more help? Contact your local SMG Coordinator.